

Rene Kammerlander et al.

Serial No.

10/590,972

Art Unit:

Examiner:

3731

Szpira, Julie Ann

Filed:

In re Application of:

August 25, 2006

Confirm. No.: 2728

Title:

DEVICE FOR THE INSERTION OF DEFORMABLE INTRA-OCULAR

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

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The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Supplemental Information Disclosure Statement is being submitted after receiving the office action. Accordingly, please charge Deposit Account No. 07-1850 in the amount of \$180.00 for the fee set forth in 37 CFR §1.17(p). The Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date:

January 26 , 2009

Squire, Sanders & Dempsey L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Facsimile (415) 393-9887 Telephone (415) 954-0241 szhu@ssd.com Respectfully submitted,

Song Zhu

Attorney for Applicants

Reg. No. 44,420

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) inside this box —> [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

873		<u>-</u>	Application Number	10/590,97	72			
TRANSMITTAL  FEB 0 2 2009 FORM  to be used for all forrespondence after initial filing)			Filing Date	August 25, 2006				
			First Named Inventor	Kammerlander 3731 Szpira, Julie Ann				
			Group Art Unit					
			Examiner Name					
Total Number of Pag	es in This Submissions)	<sup>1</sup> 14	Attorney Docket Number	42445.40	037			
		ENCL	OSURES (check all that apply)					
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			of Attorney, Revocation e of Correspondence Address		Enclosure(s) identify below):			
☐ Information Disclosure Statement (in duplicate) with Form PTO-1449			al Disclaimer st for Refund	47	References			
		CD, No	umber of CD(s)					
Certified Copy of Priority Document(s)		Rema	rks					
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts under 37 CFR 1.52 or 1.53								
	SIGNA	TURE OF	APPLICANT, ATTORNEY, O	R AGENT				
Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Song Zhu, Ph.D., Reg. No. 44,420							
Signature	Sonx	mxGh						
Date	January 28, 2009	0						
	CERTIFICATE OF MAILING							
I hereby certify that th	is correspondence is	s being depo	sited with the United States Post	al Service as	first class mail in a box			
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	Complete if Known				
FÉR TRANSMITTAL	Application Number	10/590,972			
FEB 0 2 2009 For FY 2003	Filing Date	August 25, 2006			
	First Named Inventor	Rene Kammerlander			
Effective 5 01/2003. Patent fees are subject to annual revision.	Examiner Name	Szpira, Julie Ann			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	3731			
TOTAL AMOUNT OF PAYMENT (\$) 180.00	Attorney Docket No.	042445.40037			

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None			3. ADE	ANOITIC	1					
Order  Deposit Account:			Large	Entity	Small I	Entity				
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Number					1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit				1	1053	130	1053	130	Non-English specification	
Account	Squire, Sa	nders & Dempsey L.L.	Ρ.		1812	2,520	1812	2,520	For filing a request for reexamination	
Name The Director Is authorized to: (check all that apply)					1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
☐ Charge fee(s) indicated below, except for the filing fee				1251	110	2251	55	Extension for reply within first month		
to the above-identified deposit account.  FEE CALCULATION					1252	420	2252	205	Extension for reply within second month	
4 01010 51					1253	950	2253	465	Extension for reply within third month	
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	Fee Fee Code (\$)	Fee Description	! Fee Pald		1255	2,010	2255	985	Extension for reply within fifth month	
	Code (\$) 2001 385	Utility filing fee	reeraid	7	1401	330	2401	160	Notice of Appeal	
	2001 365 2002 165			$\dashv$	1402	330	2402	160	Filing a brief in support of an appeal	
	2002 165			-	1403	290	2403	140	Request for oral hearing	
1004 750	2004 375	•			1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160	2005 80	Provisional filling	fee	┙	1452	110	2452	55	Petition to revive – unavoidable	
·	CUDIO	OTAL (1)	(\$)	_	1453	1,300	2453	650	Petition to revive – unintentional	
	30610	JIAE (I)	(4)		1501	1,330	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIR	W FEES				1502	470	2502	235	Design issue fee	
			ee from Fee		1503	630	2503	315	Plant issue fee	
Total Claims	<del></del>	Claims b	elow Paid	_	1460	130	1460	130	Petitions to the Commissioner	
Total Claims		<u> </u>		╡	1807	50	1807	50	Processing fee under 37 CFR 1.17 (g)	
Independent Claims			=		1806	180	1806	180	Submission of Information Disclosure Stmt	180
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Code (\$)	Code	(\$) Fee Descrip							Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202	9 Claims in ex			1810	750	2810	375	For each additional invention to be	
1201 84	2201	•	t claims in excess of 3		l				examined (37 CFR § 1.129(b))	
1203 280	2203	•	endent claim, if not pa		1801	770	2801	385	Request for Continued Examination (RCE)	
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**or number pre	viously paid,	if greater; For Reis	sues, see above					_		

SUBMITTED BY			Complete (if applicable)			
Name (Print/Type)	Song Zhu, Ph.D	Registration No. Attorney/Agent)	44,420	Telephone	(415) 954-0200	
Signature	1200/2	h		Date 7	January 28 2009	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Attorney for Applicants

Reg. No. 44,420